



**Circle Peak Capital
Asset Management
Senior Advisors**

Clinton J. Kendrick

- **Circle Peak Capital LLC - Operating Partner**
 - Founding operating executive dedicated to building wealth management franchise
- **Shearman & Sterling - Executive Director**
 - Managed the business of \$600 million global law firm in 17 cities world wide
- **Matrix Global Investment - Co-Founder, President & CEO**
 - Co-founded firm with a \$60 million equity commitment from Castle Harlan, Inc.
 - Acquired HNW money management platform (and several tuck-ins)
- **Lehman Brothers - Managing Director**
 - Responsible for Lehman Brothers Global Asset Management
- **Hyperion Capital Mgmt - Co-Founder, President & CEO**
 - Created mortgage-backed investment management firm with Lewis Ranieri
 - Grew AUM to \$2.3 billion in a little over two years
- **Alliance Capital Mgmt - President and Director**
 - Served for 10 years as President and board member of Alliance.
 - Started & served for five years as CEO of Alliance Capital Management International-London
 - Grew ACMI to \$2.5 billion in AUM with offices in London, Tokyo and New York
 - Started and served as chairman of, nine mutual funds at Alliance and Hyperion

H. Richard Vartabedian

- **Circle Peak Capital LLC - Senior Advisor**
- **HSBC - Executive Vice President**
 - CEO North America Asset Management Co, One of five executives responsible for Global Asset Management Business, with \$150 billion under management, staff of 1100 worldwide
- **Republic National Bank - Chief Investment Strategist**
 - Responsible for development of an open architecture platform for the Private Bank, raising \$1.5 billion within initial 18 months
- **Chase Manhattan Bank - Division Executive**
 - Responsible for \$100 billion US asset management business with staff of over 200 located in offices in major US cities
 - Executive Driving Vista Mutual Fund complex, merged into Chemical Funds and JP Morgan Funds
- **JP Morgan Funds (Formerly Vista) - President and Trustee**
 - Fund business which grown to be one of the largest in the US

Circle Peak welcomes the opportunity to speak with companies and management teams seeking growth capital, recapitalizations or buyout opportunities. Fell free to contact Circle Peak's Managing Partner with any inquiries at rasmith@circlepeakcapital.com.